

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/943,645

08/30/2001

Wolfgang Muschik

NHL-SCT-27

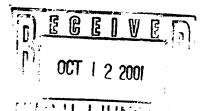
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H. LJUNGMAN

NILS H. LJUNGMAN & ASSOCIATES

P.O. BOX 130

GREENSBURG, PA 15601-0130



CONFIRMATION NO. 9404

FORMALITIES LETTER

OC000000006879602

Date Mailed: 10/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 BNGUYEN1 00000035 09943645

01 FC:101 02 FC:102 03 FC:105 740.00 OP 252.00 OP

130.00 OP



COPY OF PAPERS ORIGINALLY FILED

et No.: NHL-SCT-27

Serial No.: 09/943,645

UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER:

(NOT YET RECEIVED)

ART UNIT:

1731

SERIAL NO.:

09/943,645

FILING DATE:

August 30, 2001

INVENTORS:

Wolfgang MUSCHICK, Hildegard RÖMER, Rainer EICHHOLZ, Paul KISSL, Johann COLLIGNON, and

Reinhard ZINTL

TITLE:

IN A METHOD OF MAKING GLASS, A METHOD AND DEVICE FOR THE CONTROL AND SETTING OF THE REDOX STATE OF

REDOX FINING AGENTS IN A GLASS MELT

Greensburg, Pennsylvania

Assistant Commissioner for Patents Washington, D.C. 20231

December 7, 2001

BOX MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

The Notice to File Missing Parts of Nonprovisional Application, mailed on October 10, 2001, a copy of which is enclosed herewith, requires that an increased filing fee of \$740.00, a large entity surcharge fee of \$130.00, additional claim fees of \$252.00, and a Declaration signed by the inventors be submitted by <u>December 10, 2001</u>, which is two months from the mailing date of the Notice to File Missing Parts of Nonprovisional Application, relating to the above-cited patent application.

This case was filed without a Declaration because an application with a Declaration attached thereto was not received by the Attorney of Record by the Convention Date. Therefore, in order to comply with the filing requirements, a Declaration citing the above-identified application by its serial number and filing date is enclosed herewith. Priority was claimed under 35 U.S.C. §119 at the time of filing of the above-cited application.

The undersigned attorney of record hereby states that the invention recited in the Declaration enclosed herewith is identical to the invention captioned above.

As requested by the Notice to File Missing Parts of Nonprovisional Application, all required documents are enclosed herewith.

et No.: NHL-SCT-27 Serial No.: 09/943,645

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated in the certification of facsimile transmission on the transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,

Nils H. Ljungman, Esq.

Attorney for Applicant[s] Reg. No. 25,997

Name of person signing certification

Nils H. Ljungman & Associates

P.O. Box 130

Greensburg, PA 15601-0130 Telephone: (724) 836-2305 Facsimile: (724) 836-2313



COPY OF PAPERS ORIGINALLY FILED

Docket No .: NHL-SCT-27 Serial No.: 09/943,645

THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER:

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IN A METHOD OF MAKING GLASS, A METHOD AND DEVICE FOR THE CONTROL AND SETTING OF THE REDOX STATE OF

REDOX FINING AGENTS IN A GLASS MELT

Greensburg, Pennsylvania

Assistant Commissioner for Patents

Washington, D.C. 20231 December 7, 2001

BOX MISSING PARTS

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents relating to the above-cited case:

- a Response to Notice to File Missing Parts of 1) Nonprovisional Application;
- 2) a copy of the Notice to File Missing Parts of Nonprovisional Application;
- an executed Supplemental Declaration and Power of 3) Attorney document;
- 4) a check for \$1,122.00 representing \$740.00 for the basic filing fee, \$130.00 for the large entity surcharge fee, and \$252.00 for the extra independent claims fees;
- 5) an Information Disclosure Statement (Form PTO-1449) having one page;
- 6) copies of the references (5) cited in the Information Disclosure Statement;
- a copy of a German Office Action from the corresponding 7) German application and an English translation thereof;
- 8) a certified copy of Federal Republic of Germany Patent Application No. 100 42 771.5;
- 9) an Authorization to Withdraw from Deposit Account and a duplicate copy thereof; and
- a stamped, self-addressed postcard, return of which is 10) requested to acknowledge receipt of the enclosed documents.

NHL:ksm/vwt

SCT-27 11sd/SCT042sd

Doget No.: NHL-SCT-27 Serial No.: 09/943,645

TRANSMITTAL LETTER Page 2

It is believed that no further fee is required to file the enclosed document.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on <u>December 7</u>, 2001.

Respectfully submitted,

Mila II Liverge Esa

Nils H. Ljungman, Esq.
Attorney for Applicant[s]

Req. No. 25,997

Name of person signing certification

Nils H. Ljungman & Associates

P.O. Box 130

Greensburg, PA 15601-0130 Telephone: (724) 836-2305 Facsimile: (724) 836-2313

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 7, 2001.

Signature

Eileene C. Klingensmith
Name of person mailing paper or fee

December 7, 2001 _____ Date

SCT-27 11sd/SCT042sd

NHL:ksm/vwt